IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Customer Number: 25944

Attorney Docket No.: 118825

Date: February 23, 2004

MAIL STOP PATENT APPLICATION

NONPROVISIONAL APPLICATION TRANSMITTAL **RULE §1.53(b)**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is the nonprovisional patent application

For (Title):

NON-VOLATILE SEMICONDUCTOR MEMORY DEVICE AND METHOD OF

MANUFACTURING THE SAME

By (Inventors):

Yoshihito OWA

\boxtimes	Formal drawings (Figs. 1-38; 19 sheets) are attached.
	Use Figure for front page of Publication.
	A Declaration and Power of Attorney is filed herewith.
	This application claims benefit of Provisional Application No filed
	(A Preliminary Amendment is attached to reflect this claim in the Specification if not already present.)
\boxtimes	This patent application is assigned to <u>SEIKO EPSON CORPORATION</u> .
	The executed Assignment is filed herewith.
\boxtimes	An Information Disclosure Statement is filed herewith.
	Entitlement to small entity status is hereby asserted.
	A Preliminary Amendment is filed herewith.
	Priority of foreign application No. 2003-054451 filed February 28, 2003 in Japan is claimed (35 U.S.C. §
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A certified copy of the above corresponding foreign application is filed herewith.

This application is NOT to be published under 35 U.S.C. 122(b). The undersigned attorney or agent hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing.

 \boxtimes The filing fee is calculated below:

CLAIMS IN THE APPLICATION AFTER ENTRY OF ANY PRELIMINARY AMENDMENT NOTED ABOVE

FOR:	NO. FILED	NO. EXTRA	
BASIC FEE		100	
TOTAL CLAIMS	14 - 20	= 0 *	
INDEP CLAIMS	4 - 3	= 1 *	
☐ MULTIPLE DEPENDENT CLAIMS PRESENTED			

^{*} If the difference is less than zero, enter "0".

SMALL ENTITY

FEE RATE <u>OR</u> \$ 385 <u>OR</u> 9 = \$ **OR** \$ 43 =OR x \$ 145 = <u>OR</u> **TOTAL** <u>OR</u>

OTHER THAN A **SMALL ENTITY**

RATE	FEE
	\$ 770
x 18	\$
x 86	\$ 86
+ 290	\$
TOTAL	\$ 856

Check No. 151328 in the amount of \$856.00 to cover the filing fee is attached. Except as otherwise noted herein, the Commissioner is hereby authorized to charge any other fees that may be required to complete this filing, or to credit any overpayment, to Deposit Account No. 15-0461. Two duplicate copies of this sheet are attached.

Respectfully submitted,

James A. Oliff Registration No. 27,075

Thomas J. Pardini Registration No. 30,411

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